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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Application No.: 09/500,624
Filing Date: February 9, 2000
Applicant: Dean Amburn
Group Art Unit: 3628
Examiner: Harish T. Dass
Title: Method And Apparatus For Automated Trading of
Equity Securities Using A Real Time Data Analysis
Attorney Docket: 2425-000001

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Director of The United States Patent and Trademark Office
P.O. Box 1450
Alexandria, Virginia 22313-1450

LETTER


Sir:

On December 17, 2003, Applicant submitted an Information Disclosure Statement and corresponding Form 1449 in the above-referenced application. One of the cited references was a publication entitled "Trading as a Business" by Charlie F. Wright which inadvertently was not enclosed with the submission to the Patent Office.

Accordingly, Applicant submits herewith the publication entitled "Trading as a Business" by Charlie F. Wright, dated 1998.

Respectfully submitted,

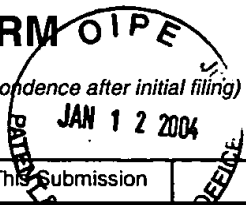
Dated: 1/12/2004

By: 
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3628

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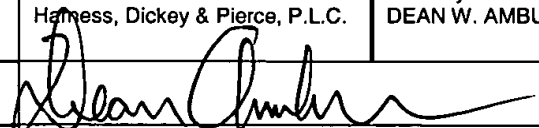
TRANSMITTAL FORM (to be used for all correspondence after initial filing) 	Application Number	09/500,624
	Filing Date	February 9, 2000
	First Named Inventor	Dean Amburn
	Group Art Unit	3628
	Examiner Name	Harish T. Dass
Total Number of Pages in This Submission	Attorney Docket Number	2425-000001

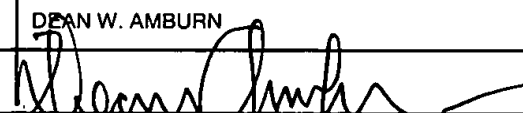
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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Letter; Copy of publication "Trading as a Business"; return postcard.
Remarks		The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name	DEAN W. AMBURN
Signature			
Date	JANUARY 12, 2004		

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.			
Typed or printed name	DEAN W. AMBURN	Express Mail Label No.	EV 406 075 762 US (1/12/2004)
Signature		Date	JANUARY 12, 2004

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